

# NIC Insurance Filings Web Service Specification

**Version 2.0**

**11/24/20**

## Contents

<b>Introduction.....</b>	<b>2</b>
<b>Web Service Setup Process.....</b>	<b>3</b>
<b>Initial Approach Details .....</b>	<b>3</b>
<b>NIC Insurance Filings Web Service – Three Complementary Services .....</b>	<b>4</b>
<b>NIC Insurance Filings: getFiling Method .....</b>	<b>5</b>
Data Definition.....	8
<b>NIC Insurance Filings: getFilingPDF Method .....</b>	<b>14</b>
Data Definition.....	15
<b>NIC Insurance Filings: getFilingStatusUpdate Web Service Method.....</b>	<b>16</b>
Data Definition.....	18
<b>NIC Insurance Filings: searchFilings Method .....</b>	<b>21</b>
Data Definition.....	26
<b>NIC Insurance Filings: updateFiling Method .....</b>	<b>28</b>
Data Definition.....	29

## Introduction

This document describes the NIC Insurance Filings web service. It allows users to obtain filing data via a SOAP web service. At a high-level, systems integrating with NIC Insurance Filings use the `getFilingStatusUpdate` method to discover any new filings within the system. Next the `getFiling` method is called and retrieves filing data. Finally, the `updateFiling` method updates the filing's status.

The `getFilingPDF` method is used to retrieve the associated PDF document that has been generated in the system for the filing.

The remaining method in this document, `searchFilings`, searches for filings using various criteria.

Operation	Parameters	Return Value	Contract
Get Filing Status Update	None	Array of filing status objects including: <ul style="list-style-type: none"> <li>• Filing ID</li> <li>• Status</li> <li>• Date</li> <li>• Notification ID</li> </ul>	NIC Insurance Filings tracks the filing status change, returns an array of all accumulated updates and identifies all status updates since the last call was made. This is used for one-time information retrieval only.
Get Filing	Policy No or Filing ID	Filing Object. Includes all filing data for the Filing ID.	Returns one filing at a time.
Get Filing PDF	Filing ID and State Agency Name	Filing PDF Object	Returns one filing PDF at a time
Search Filings	Filing Date, which can be limited by Filing Status and/or Notification ID	Array of Filing Objects matching the Date / Date - status / or NID Number.	Can be used for historical filing lookups for all results that match the input criteria.
Update Filing Status (Process filing)	Filing Id, Change Status To, Comment, Processed By	Filing Object. Including all filing data for the Filing ID.	Updates the filing status and sends an email to the filer.

*Note: NIC Insurance Filings will start tracking filing status updates as soon as the web service is enabled by the state agency administrator via the NIC Insurance Filings administrative site. The first status update call returns all filing updates between the time the web service is enabled and the time of first web service call. Subsequent calls provide incremental updates.*

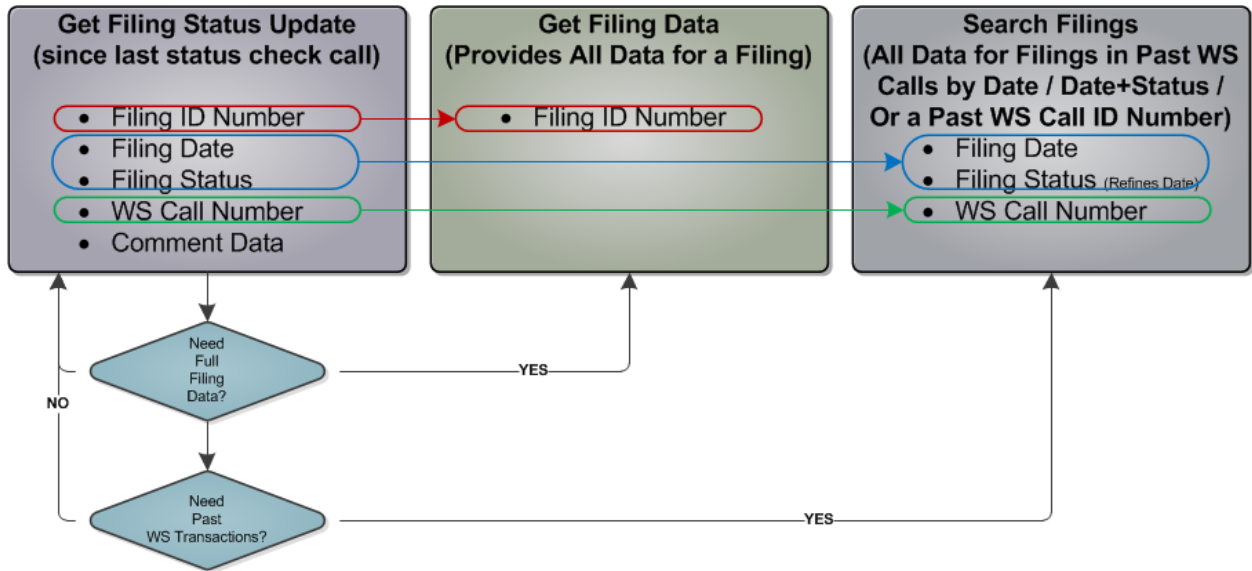
## Web Service Setup Process

1. Set up service access and enable state agency administrator capabilities.
  - a. Walk state agency administrator through setup to prepare for development and testing.
2. NIC Insurance Filings shares Web Service Definition Language (WSDL) specification with the state agency IT group.
3. The state agency IT group uses the WSDL to develop a web service client to access the NIC Insurance Filings web service. The web service clients also delivers the data to the agency's back-end system.
  - a. The state agency develops and tests the web service client using test credentials and sample filing data, provided by NIC Insurance Filings, and is available via the web service.
  - b. When the state agency's testing is complete, NIC Insurance Filings walks the state agency administrator through a simple setup to start using the NIC Insurance Filings web service operation in production.
  - c. The state agency processes their filings using the NIC Insurance Filings website. The filings are then shown in the state agency's back-end system automatically.
4. If the agency chooses, they can process filings using various parameters including the Filing ID, Policy Number, or Date Range.

## Initial Approach Details

- The web service is available 24/7, except for maintenance windows that typically occur over the weekend and last a few hours.
- The data processed by the web service includes an Upload Status data element. Previously uploaded data will be marked accordingly. The first time the data is processed, the Get Filing Status Update provides this information.
- Data processed via the web service will be available for access reload from service initiation. Access by date or WS call number.

## NIC Insurance Filings Web Service – Three Complementary Services



# NIC Insurance Filings: getFiling Method

This document provides the NIC Insurance Filings web service method and data content for the Get Filing web service. The code samples below reference one form type (Form E), but this method allows users to pull data for any form types and elements supported by NIC Insurance Filings.

---

**WSDL** : <https://mc-vapp-tst.cdc.nicusa.com/norstdataservice/searchReportData?wsdl>

## Soap Request :

```
<soapenv:Envelope xmlns:soapenv="http://schemas.xmlsoap.org/soap/envelope/" xmlns:ser="http://server.fromjava/">
  <soapenv:Header/>
  <soapenv:Body>
    <ser:getFiling>
      <!--Optional-->
      <filingId>806683</filingId>
      <!--Optional-->
      <policyNo></policyNo>
    </ser:getFiling>
  </soapenv:Body>
</soapenv:Envelope>
```

## Soap Response:

```
<S:Envelope xmlns:S="http://schemas.xmlsoap.org/soap/envelope/">
  <S:Body>
    <ns2:getFilingResponse xmlns:ns2="http://server.fromjava/">
      <return>
        <filingId>806683</filingId>
        <policyNo>WSUPDATETEST-3</policyNo>
        <stateId>IL</stateId>
        <filer>apiusr7406</filer>
      </return>
    </ns2:getFilingResponse>
  </S:Body>
</S:Envelope>
```

<insurerNo>556677</insurerNo>  
<usdot>1234</usdot>  
<motorCarrierCompany>Slow Movers</motorCarrierCompany>  
<motorCarrierDBA>Very Fast Movers</motorCarrierDBA>  
<stateMCId>33445566</stateMCId>  
<status>REJ</status>  
<formType>Form E</formType>  
<mcCompAddr>  
  <addr1>Smart Lane</addr1>  
  <city>Salty</city>  
  <state>HI</state>  
  <zip>23456</zip>  
  <country>US</country>  
</mcCompAddr>  
<effectiveDate>2012-09-19T00:00:00-04:00</effectiveDate>  
<filingDate>2012-07-03T00:00:00-04:00</filingDate>  
<agencyRejectionDate>2012-07-03T00:00:00-04:00</agencyRejectionDate>  
<rejectReason>Finally Rejected</rejectReason>  
<reinstate>Y</reinstate>  
<fhwa>4567</fhwa>  
<filingNotes>Please approve soon</filingNotes>  
<insuranceCompanyName>Adriatic Insurance Company</insuranceCompanyName>  
<insDBA>Adriatic Insurance Company</insDBA>  
<insuranceCompanyAddress>  
  <addr1>3501 N. Causeway Blvd Suite 1000</addr1>  
  <city>Metairie</city>  
  <state>LA</state>  
  <zip>70002</zip>  
</insuranceCompanyAddress>  
<insurancePhone>5048388100</insurancePhone>  
<stateAgencyName>Illinois Commerce Commission</stateAgencyName>

```
<markedPendingDate>2012-07-03T00:00:00-04:00</markedPendingDate>
<pendingComments>Testing pending action</pendingComments>
<generalComments>Finally Rejected</generalComments>
<authorizedSignatory>
  <name>Pat Wilson</name>
  <phAddr>
    <addr1>3501 N. Causeway Blvd. Suite 1000</addr1>
    <city>Metairie</city>
    <state>LA</state>
    <zip>70002</zip>
  </phAddr>
</authorizedSignatory>
<form>
  <underLyingLimit>1.0</underLyingLimit>
  <liabilityLimit>2.0</liabilityLimit>
</form>
</return>
</ns2:getFilingResponse>
</S:Body>
</S:Envelope>
```



## Data Definition

### Request:

Element Name	Description/Purpose	Min Value/Size	Max Value/Size	Data Type	Additional Information
filingId	NIC Insurance Filings system defined unique ID for each filing	1	9999999999	INTEGER	Optional parameter.  <b>Not required if policyNo is provided.</b>
policyNo	The policy number provided by insurance agent while submitting the filing through the NIC Insurance Filings system	1	25	String	Optional parameter.  <b>Not required if filingId is provided.</b>

**Note:** Either filingId or policyNo must be provided. The search criteria fields build a Boolean “AND” query not an “OR” query.

**Response:** Returns only one filing object matching the given search criteria. If no matching filing objects are found, then the web service a fault is generated.

All of the data elements below are available via the getFiling method; however, the state agency might create a getFiling call that only requests a subset of these elements. The getFiling responses will omit elements for which no data is contained in the identified filing.

**Note:**

1. The Min Value/Size column indicates the lower value range of the numeric data types. The minimum string length indicates the lower value range in case of the String data type.
2. For the String data type, a minimum size of zero indicates that the field can be empty.

Element Name	Description/Purpose	Min Value/Size	Max Value/Size	Data Type	Additional Information
filingId	NIC Insurance Filings system defined unique ID for each filing	1	9999999999	Integer	
policyNo	The policy number provided by the insurance agent	1	25	String	

Element Name	Description/Purpose	Min Value/Size	Max Value/Size	Data Type	Additional Information
	during the filing submission				
stateId	State abbreviation	2	2	String	
filer	The submitting insurance agent	1	20	String	
insurerNo	State agency specific ID for an insurer.	0	18	String	
usdot	U.S. DOT number	0	8	String	
motorCarrierCompany	Motor carrier company name	1	50	String	
motorCarrierDBA	Motor carrier doing business as name	0	120	String	
stateMCId	Motor carrier ID	0	20	String	
status	Indicates the processing status of filing in NIC Insurance Filings system	3	3	String	<p><b>Fixed set of values:</b></p> <p>REC: New Filing  PEN: Pending  REJ: Rejected by agency  ACC: Accepted/Approved by agency</p> <p>'REC' implies that the filing has been recently submitted by insurer.</p> <p>'PEN' implies the state user has exclusively moved the filing to a pending queue.</p> <p>In either of these statuses, the filing is open for acceptance or rejection by the state agency.</p>
formType	Type of the form being submitted	1	50	String	<p><b>Fixed set of values:</b></p> <p>Form E  Form H  Form K  Form EH  BMC-91  BMC-91X  BMC-34</p>

Element Name	Description/Purpose	Min Value/Size	Max Value/Size	Data Type	Additional Information
					BMC35 CA MCP 65 (CA DMV only) CA MCP 66 (CA DMV only)
mcCompAddr	Motor carrier company address	-NA-	-NA-	Complex XML element	The sub element details are defined in table below titled <b>“Address”</b>
effectiveDate	The date the policy becomes effective. Only the date part is relevant.	10	10	String	Format: MM/DD/YYYY
filingDate	The date the policy becomes effective.	10	10	String	Format: MM/DD/YYYY
agencyRejectionDate	The date the policy was rejected by the state agency.	10	10	String	Format: MM/DD/YYYY
rejectReason	A description of the reason the policy was rejected. This is entered in the NIC Insurance Filings system by the state agency user.	1	255	String	
reinstate	Re-instates the policy.	0	1	String	Possible values: ‘Y’, ‘N’,’’
Fhwa	The Federal Highway Administration number	0	8	String	
filingNotes	Notes provided by the filing agent.	0	2000	String	
insuranceCompanyName	The insurance company’s name.	1	120	String	
insDBA	The insurance doing business as name.	1	120	String	
insuranceCompanyAddress	The insurance company address.	-NA-	-NA-	Complex XML element	The sub element details are defined in table below titled <b>“Address”</b>

Element Name	Description/Purpose	Min Value/Size	Max Value/Size	Data Type	Additional Information
insurancePhone	The insurance company phone.	1	10	String	
stateAgencyName	The name of the state agency to which the policy was filed. This is relevant to only those states that have more than one agency with whom a user can file.	1	80	String	
markedPendingDate	The date when the filing was marked as pending by the state agency.	10	10	String	Format: MM/DD/YYYY
pendingComments	Comments entered by the state agency in the NIC Insurance Filings system when this filing was marked "Pending".	1	255	String	
pdfKey	An encrypted string that is sent as a request parameter to download a PDF.				Please contact NIC Insurance Filings support at <a href="mailto:support@nicinsurancefilings.com">support@nicinsurancefilings.com</a> for additional details on http download of filing PDFs.
authorizedSignatory	The authorized signatory for the insurance company.	-NA-	-NA-	Complex XML element	The sub-element details are defined in the table titled " <b>Signatory</b> ".
Form	The form specific details for this filing.	-NA-	-NA-	Complex XML element	The sub-element details are defined in the table titled " <b>Form</b> ".

Signatory					
Element Name	Description/Purpose	Min Value/Size	Max Value/Size	Data Type	Additional Information

name	The name of the signatory.	1	64	String	
phAddr	The signatory's address.	-NA-	-NA-	Complex XML element	The sub-element details are defined in the table titled "Address".

Address					
Element Name	Description/Purpose	Min Value/Size	Max Value/Size	Data Type	Additional Information
addr1	Address Line 1	1	64	String	
addr2	Address Line 2	0	64	String	
City	City	1	64	String	
state	State code	2	2	String	
Zip	Zip code	5	10	String	Format : #####-####
Country	Country code	0	3	String	

Element Name	Min Value/Size	Max Value/Size	Data Type	Additional Information
cancelCargo	0	1	String	Fixed Set: (Y, N)
cancelBIPD	0	1	String	Fixed Set: (Y, N)
underLyingLimit	1000	1 Billion	Number	Format: ##.##
liabilityLimit	1000	1 Billion	Number	Format: ##.##

**Other considerations for the method:**

1. The request is accompanied with an http header providing two values:
  - a. Username
  - b. Password

The credentials are defined in NIC Insurance Filings system. The user has the state agency admin role.

2. This method returns data for only one filing per request.
3. Fields, for which data was not provided, lack a corresponding XML element in the response XML.

# NIC Insurance Filings: getFilingPDF Method

This document provides the NIC Insurance Filings web service method and data content for the Get Filing PDF web service.

---

**WSDL** : <https://mc-vapp-tst.cdc.nicusa.com/norstdataservice/searchReportData?wsdl>

## Soap Request :

```
<soapenv:Envelope xmlns:soapenv="http://schemas.xmlsoap.org/soap/envelope/" xmlns:ser="http://server.fromjava/">
  <soapenv:Header/>
  <soapenv:Body>
    <ser:getFilingPDF>
      <filingId>111111</filingId>
      <stateName>Alaska</stateName>
    </ser:getFilingPDF>
  </soapenv:Body>
</soapenv:Envelope>
```

## Soap Response:

```
<S:Envelope xmlns:S="http://schemas.xmlsoap.org/soap/envelope/">
  <S:Body>
    <getFilingPDFResponse xmlns="http://server.fromjava/">
      <return> JVBERi0xLjIKXBqLTAuMjIKJUdlbmVYXRIZCB3aXRoiHBqIDAuMjIKSIENvcHlyaWdodCAoQykgMTk5OC</return>
    </getFilingPDFResponse>
  </S:Body>
</S:Envelope>
```

## Data Definition

### Request:

Element Name	Description/Purpose	Min Value/Size	Max Value/Size	Data Type	Additional Information
filingId	NIC INSURANCE FILINGS system defined unique ID for each filing	1	9999999999	INTEGER	Required.
stateName	The state agency the filing has been filed to.	1	25	String	Required.

**Note:** Both filingID and stateName must be provided. The search criteria fields build a Boolean “AND” query not an “OR” query.

**Response:** Returns only one PDF object matching the given search criteria. If no matching PDF objects are found, then a “No pdf matched the given criteria” message will be returned.

Element Name	Description/Purpose	Min Value/Size	Max Value/Size	Data Type	Additional Information
return	PDF String formatted as a base 64 binary.	1	none	String	

### Other considerations for the method:

1. The request is accompanied with an http header providing two values:
  - a. Username
  - b. Password

The credentials are defined in NIC Insurance Filings system. The user has the state agency admin role.

2. This method returns data for only one PDF per request.



# NIC Insurance Filings: getFilingStatusUpdate Web Service Method

This document provides the NIC Insurance Filings web service method and data content for the Get Filings Status Update web service.

---

**WSDL** : <https://mc-vapp-tst.cdc.nicusa.com/norstdataservice/searchReportData?wsdl>

## **Soap Request** :

```
<soapenv:Envelope xmlns:soapenv="http://schemas.xmlsoap.org/soap/envelope/" xmlns:ser="http://server.fromjava/">
  <soapenv:Header/>
  <soapenv:Body>
    <ser:getFilingStatusUpdate/>
  </soapenv:Body>
</soapenv:Envelope>
```

## **Soap Response:**

```
<S:Envelope xmlns:S="http://schemas.xmlsoap.org/soap/envelope/">
  <S:Body>
    <ns2:getFilingStatusUpdateResponse xmlns:ns2="http://server.fromjava/">
<return>
  <filingId>806683</filingId>
  <comment>Testing pending action</comment>
  <insurerNo>556677</insurerNo>
  <stateMCId>33445566</stateMCId>
  <wsNotificationId>42</wsNotificationId>
  <updatedAtStatus>PEN</updatedAtStatus>
  <updatedAtAt>07/03/2012</updatedAtAt>
</return>
<return>
  <filingId>806684</filingId>
```

```
<comment>Trying reject action</comment>
<insurerNo>908070</insurerNo>
<stateMCId>88776655</stateMCId>
<wsNotificationId>42</wsNotificationId>
<updatedAt>REJ</updatedAt>
<updatedAt>07/03/2012</updatedAt>
</return>
</ns2:getFilingStatusUpdateResponse>
</S:Body>
</S:Envelope>
```

Data Definition

Request:

Element Name	Description/Purpose	Value Range	Additional Information
updateSinceDateTime	The initial date and time from which to retrieve the log records. This element retrieves all of the logs up until 15 days before this date.		Format: MM/DD/YYYY HH:MM:SS  <b>This is an optional parameter.</b>  <b>When the updateSinceDateTime value is not present, all previously unset logs will be retrieved. The records will then be marked accordingly.</b>

Response: Returns the array of status objects. Each status object will have following sub elements:

**Note:**

1. The Min Value/Size column indicates the lower value range of the numeric data types. The minimum string length indicates the lower value range in case of the String data type.
2. For the String data type, a minimum size of zero indicates that the field can be empty.

Element Name	Description/Purpose	Min Value/Size	Max Value/Size	Data Type	Additional Information
filingId	The NIC Insurance Filings system defined unique filing ID.	1	999999999	Integer	
comment	The comment entered by the state agency user on the review screen.	0	255	String	
insurerNo	The agency-specific ID, assigned by the state agency to insurer.	0	18	String	
stateMCId	The motor carrier ID.	0	20	String	

Element Name	Description/Purpose	Min Value/Size	Max Value/Size	Data Type	Additional Information
wsNotificationId	The NIC Insurance Filings system defined, unique ID for each response.	1	9999999999	Integer	
updatedStatus	Indicates the processing status of filing in NIC Insurance Filings system.	3	3	String	<p><b>Fixed set of values:</b></p> <p>REC: New Filing  PEN: Pending  REJ: Rejected by agency  ACC: Accepted/Approved by agency</p> <p>'REC' implies that the filing has been recently submitted by insurer.</p> <p>'PEN' implies the state user has exclusively moved the filing to a pending queue.</p> <p>In either of these statuses, the filing is open for acceptance or rejection by the state agency.</p>
updatedAt	Indicates the last date when this filing's data was last updated in the NIC Insurance Filings system.	10	10	String	<p>Format of date string:  MM/DD/YYYY</p> <p>For a newly submitted filing (status = REC), this date indicates the day the filing was submitted by insurance agent. Other actions on the filing are done by the state agency indicates the last date that the agency took action on the filing within the NIC Insurance Filings system.</p>

**Other considerations for the web request:**

1. The request is accompanied with an http header providing two values:
  - a. Username
  - b. Password

The credentials are defined in NIC Insurance Filings system. The user has the state agency admin role.

2. This method does not limit the maximum number of records returned by the request. The web service client will call this method every few minutes so that the updates are sent in smaller batches.
3. An empty response implies that no updates have been made to any filings since the last call to this method.

# NIC Insurance Filings: searchFilings Method

This document provides the NIC Insurance Filings web service method and data content for the Search Filings web service.

---

**WSDL** : <http://mc-vapp1-tst.cdc.nicusa.com:8080/norstdataservice/searchReportData?wsdl>

## **Soap Request :**

```
<soapenv:Envelope xmlns:soapenv="http://schemas.xmlsoap.org/soap/envelope/" xmlns:ser="http://server.fromjava/">
  <soapenv:Header/>
  <soapenv:Body>
    <ser:searchFilings>
      <!--Optional:-->
      <filingDate></filingDate>
      <!--Optional:-->
      <processingStatus></processingStatus>
      <!--Optional:-->
      <wsNotificationId>61</wsNotificationId>
    </ser:searchFilings>
  </soapenv:Body>
</soapenv:Envelope>
```

## **Soap Response:**

```
<S:Envelope xmlns:S="http://schemas.xmlsoap.org/soap/envelope/">
  <S:Body>
    <ns2:searchFilingsResponse xmlns:ns2="http://server.fromjava/">
      <return>
        <filingId>806683</filingId>
        <policyNo>WSUPDATETEST-3</policyNo>
        <stateId>IL</stateId>
      </return>
    </ns2:searchFilingsResponse>
  </S:Body>
</S:Envelope>
```

<filer>apiusr7406</filer>  
<insurerNo>556677</insurerNo>  
<usdot>1234</usdot>  
<motorCarrierCompany>Slow Movers</motorCarrierCompany>  
<motorCarrierDBA>Very Fast Movers</motorCarrierDBA>  
<stateMCId>33445566</stateMCId>  
<status>REJ</status>  
<formType>Form E</formType>  
<mcCompAddr>  
  <addr1>Smart Lane</addr1>  
  <city>Salty</city>  
  <state>HI</state>  
  <zip>23456</zip>  
  <country>US</country>  
</mcCompAddr>  
<effectiveDate>2012-09-19T00:00:00-04:00</effectiveDate>  
<filingDate>2012-07-03T00:00:00-04:00</filingDate>  
<agencyRejectionDate>2012-07-03T00:00:00-04:00</agencyRejectionDate>  
<rejectReason>Finally Rejected</rejectReason>  
<reinstate>Y</reinstate>  
<fhwa>4567</fhwa>  
<filingNotes>Please approve soon</filingNotes>  
<insuranceCompanyName>Adriatic Insurance Company</insuranceCompanyName>  
<insDBA>Adriatic Insurance Company</insDBA>  
<insuranceCompanyAddress>  
  <addr1>3501 N. Causeway Blvd Suite 1000</addr1>  
  <city>Metairie</city>  
  <state>LA</state>  
  <zip>70002</zip>  
</insuranceCompanyAddress>  
<insurancePhone>5048388100</insurancePhone>

```
<stateAgencyName>Illinois Commerce Commission</stateAgencyName>
<markedPendingDate>2012-07-03T00:00:00-04:00</markedPendingDate>
<pendingComments>Testing pending action</pendingComments>
<generalComments>Finally Rejected</generalComments>
<authorizedSignatory>
  <name>Pat Wilson</name>
  <phAddr>
    <addr1>3501 N. Causeway Blvd. Suite 1000</addr1>
    <city>Metairie</city>
    <state>LA</state>
    <zip>70002</zip>
  </phAddr>
</authorizedSignatory>
<form>
  <cancelCargo>Y</cancelCargo>
  <cancelBIPD>Y</cancelBIPD>
  <underLyingLimit>1.0</underLyingLimit>
  <liabilityLimit>2.0</liabilityLimit>
</form>
</return>
<return>
  <filingId>806684</filingId>
  <policyNo>WSUPDATETEST-4</policyNo>
  <stateId>IL</stateId>
  <filer>apiusr7406</filer>
  <insurerNo>908070</insurerNo>
  <usdot>00009091</usdot>
  <motorCarrierCompany>Great Movers</motorCarrierCompany>
  <motorCarrierDBA>Super Transport</motorCarrierDBA>
  <stateMCId>88776655</stateMCId>
  <status>REJ</status>
```



<formType>Form E</formType>

<mcCompAddr>

<addr1>555 Long Lane</addr1>

<city>Wineland</city>

<state>UT</state>

<zip>60709</zip>

<country>US</country>

</mcCompAddr>

<effectiveDate>2012-09-27T00:00:00-04:00</effectiveDate>

<filingDate>2012-07-03T00:00:00-04:00</filingDate>

<agencyRejectionDate>2012-07-03T00:00:00-04:00</agencyRejectionDate>

<rejectReason>Trying reject action</rejectReason>

<reinstate>Y</reinstate>

<fhwa>MC001647</fhwa>

<filingNotes>Please approve.</filingNotes>

<insuranceCompanyName>Adriatic Insurance Company</insuranceCompanyName>

<insDBA>Adriatic Insurance Company</insDBA>

<insuranceCompanyAddress>

<addr1>3501 N. Causeway Blvd Suite 1000</addr1>

<city>Metairie</city>

<state>LA</state>

<zip>70002</zip>

</insuranceCompanyAddress>

<insurancePhone>5048388100</insurancePhone>

<stateAgencyName>Illinois Commerce Commission</stateAgencyName>

<generalComments>A general comment</generalComments>

<authorizedSignatory>

<name>Joseph Taylor</name>

<phAddr>

<addr1>3501 N. Causeway Blvd Suite 1000</addr1>

<city>Metairie</city>

```
<state>LA</state>
<zip>70002</zip>
</phAddr>
</authorizedSignatory>
<form>
  <cancelCargo>Y</cancelCargo>
  <cancelBIPD>Y</cancelBIPD>
  <underLyingLimit>2.0</underLyingLimit>
  <liabilityLimit>4.0</liabilityLimit>
</form>
</return>
</ns2:searchFilingsResponse>
</S:Body>
</S:Envelope>
```

## Data Definition

### **Request:**

Element Name	Description/Purpose	Min Value/Size	Max Value/Size	Data Type	Additional Information
filingDate	The date the filing was submitted.	0	10	String	Format: MM/DD/YYYY.  <b>This is an optional parameter; however, it is required when the processingStatus has a value.</b>
processingStatus	Indicates the processing status of filing in NIC Insurance Filings system.	0	3	String	<b>Fixed set of values:</b>  REC: New Filing PEN: Pending REJ: Rejected by agency ACC: Accepted/Approved by agency  'REC' implies that the filing has been recently submitted by insurer.  'PEN' implies the state user has exclusively moved the filing to a pending queue.  In either of these statuses, the filing is open for acceptance or rejection by the state agency  <b>This is an optional parameter and must be accompanied by a valid value in the filingDate parameter.</b>
wsNotificationId	A system generated ID that is returned with each response to the getFilingStatusUpdate method.	0	9999999999	Integer	<b>This is an optional parameter.</b>

**Note:** The search criteria fields build a Boolean "AND" query not an "OR" query.

### **Response:**

This method returns a list of filing objects. The XML structure of the filing object is defined in documentation for getFiling method.

### **Important:**

1. The request is accompanied with an http header providing two values:

- a. Username
- b. Password

The credentials are defined in NIC Insurance Filings system. The user has the state agency admin role.

2. This method returns an array of filings. The search criteria are either:
  - a. wsNotificationId and filingDate; or
  - b. processingStatus and filingDate.

Using the search criteria helps restrict the number of filings returned.

3. Fields for which data was not provided do not have a corresponding XML element in response XML.
4. If you search a filing for a date prior to your acceptance of electronic filing via the NIC Insurance Filings system, the filing will have a status of 'PAF', indicating it was paper filing.

# NIC Insurance Filings: updateFiling Method

This document provides the NIC Insurance Filings web service method and data content for the Get Filing web service.

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**WSDL** : <https://mc-vapp-tst.cdc.nicusa.com/norstdataservice/searchReportData?wsdl>

## **Soap Request :**

```
<soapenv:Envelope xmlns:soapenv="http://schemas.xmlsoap.org/soap/envelope/" xmlns:ser="http://server.fromjava">
  <soapenv:Header/>
  <soapenv:Body>
    <ser:updateFiling>
      <!--Optional-->
      <ser:filingId>811378</ser:filingId>
      <!--Optional-->
      <ser:changeStatusTo>ACC</ser:changeStatusTo>
      <!--Optional-->
      <ser:comment>Trial 10</ser:comment>
      <!--Optional-->
      <ser:processedBy>me</ser:processedBy>
    </ser:updateFiling>
  </soapenv:Body>
</soapenv:Envelope>
```

## **Soap Response:**

```
<S:Envelope xmlns:S="http://schemas.xmlsoap.org/soap/envelope/">
  <S:Body>
    <updateFilingResponse xmlns="http://server.fromjava">
      <return>
        <filingId>811378</filingId>
      </return>
    </updateFilingResponse>
  </S:Body>
</S:Envelope>
```

```
<policyNo>TEST-20160219-1</policyNo>
<stateId>IL</stateId>
<filer>ausr2543</filer>
<insurerNo>987766</insurerNo>
<motorCarrierCompany>sdkdfgklj</motorCarrierCompany>
<stateMCIId>123456</stateMCIId>
<status>ACC</status>
<formType>Form E</formType>
<mcCompAddr/>
<effectiveDate>02/12/2016</effectiveDate>
<filingDate>02/19/2016</filingDate>
<agencyAcceptanceDate>06/29/2016</agencyAcceptanceDate>
<insuranceCompanyName>Lexington Insurance Company</insuranceCompanyName>
<insDBA>Lexington Insurance Company</insDBA>
<insuranceCompanyAddress/>
<insurancePhone>1234567890</insurancePhone>
<stateAgencyName>Illinois Commerce Commission</stateAgencyName>
<acceptanceComments>Trial 10</acceptanceComments>
<authorizedSignatory/>
<form>
  <underLyingLimit>0.0</underLyingLimit>
  <liabilityLimit>0.0</liabilityLimit>
</form>
</return>
</updateFilingResponse>
</S:Body>
</S:Envelope>
```

## [Data Definition](#)

### Request:

Element Name	Description/Purpose	Min Value/Size	Max Value/Size	Data Type	Additional Information
filingId	NIC Insurance Filings system defined unique ID for each filing.	1	9999999999	Integer	Required.
changeStatusTo	Changes the filing status to accepted, rejected or pending.	3	3	String	Required.  <b>Supported values:</b> ACC – Accepted REJ – Rejected PEN - Pending
Comment	The comment for this filing.	1	255	String	This element is optional when the status is changed to ACC or PEN. The element is required for rejected filings (REJ).
processedBy	The state agency's NIC Insurance Filings user ID, on behalf of whom this filing will be marked as processed.	1	20	String	Required.

**Note:** An email will be sent to the filer.

**Response:** This method will return the filing object for the filing being processed. The XML structure of the filing object is defined in documentation for the getFiling method.

**Other considerations for the method:**

1. The request is accompanied with an http header providing two values:
  - a. Username
  - b. Password

The credentials are defined in NIC Insurance Filings system. The user has the state agency admin role.

2. Fields for which data is not provided lack a corresponding XML element in response XML.